



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Alfred L. Chi  
Serial No. : 09/549,440  
Filed : April 14, 2000  
Title : FINANCIAL ACCOUNT MANAGEMENT

Art Unit : 2768  
Examiner : Unknown

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Responsive to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed July 5, 2000 (a copy of which is enclosed), applicant as a small entity submits herewith the following:

- ☐ Payment of the basic filing fee of \$0;
- ☐ Payment of the additional/multiple dependent claims fees of \$0;
- ☒ Payment of the surcharge of \$65 for late filing of the declaration.
- ☒ A check in the total amount of \$65 is attached.
- ☒ A Verified Statement Claiming Small Entity Status;
- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
- ☐ A verified English translation of the application and payment of the \$0 fee required under 37 CFR §1.17(k); and
- ☐ Other:

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

September 5, 2000

Date of Deposit

Maria Carvalho

Signature

Maria Carvalho

Typed or Printed Name of Person Signing Certificate

Applicant : Alfred L. Chi  
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Page : 2

Attorney's Docket No.: 11602-002002

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050 reference 11602-002002.

Respectfully submitted,

Date: 9/5/00



David L. Feigenbaum  
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SECTOR #3

## FORMALITIES LETTER



\*OC000000005220586\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
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09/549,440

04/14/2000

Alfred L. Chi

11602-002002

RECEIVED

David L Feigenbaum  
Fish & Richardson  
225 Franklin Street  
Boston, MA 02110-2804JUL 14 2000  
DAVID L. FEIGENBAUM

Date Mailed: 07/05/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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